

**NATIONAL PHASE  
UTILITY APPLICATION**

**Transmittal to the (DO/EO/US)  
Under 35 USC 371**

Attorney Docket No.: UNIU40.005APC  
First Named Inventor: Masahiko NAKAMORI  
Int'l Application No.: PCT/JP2003/015128  
Int'l Filing Date: November 27, 2003  
Priority Date Claimed: November 27, 2002  
Title: POLISHING PAD AND METHOD OF PRODUCING  
SEMICONDUCTOR DEVICE  
Express Mail Label No.: EV 309079655 US

10/536621

**Direct all correspondence to Customer No.: 20995**

Date: May 26, 2005  
Page 1 of 2

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Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors:**

- |                      |                      |
|----------------------|----------------------|
| 1. Masahiko NAKAMORI | 2. Tetsuo SHIMOMURA  |
| 3. Takatoshi YAMADA  | 4. Kazuyuki OGAWA    |
| 5. Atsushi KAZUNO    | 6. Kimihiro WATANABE |

**APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) The U.S. has been elected (Article 31).
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.
- (X) PCT/IB/308 enclosed.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) An Oath or Declaration signed by the inventors (35 U.S.C. § 371(c)(4)).

**OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in six (6) pages.
- (X) Recordation form cover sheet and Assignment in thee (3) total pages (cover sheet & assignment).
- (X) Power of Attorney form and copy of assignment in four (4) pages.
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing seventeen (17) references.
  - (X) Enclosing thirteen (13) references.
- (X) Drawing in four (4) pages.

10/536621

JC13 Rec'd PCT/PTO 26 MAY 2005

**NATIONAL PHASE  
UTILITY APPLICATION**  
**Transmittal to the (DO/EO/US)**  
**Under 35 USC 371**

Attorney Docket No.: JN140003  
First Named Inventor: Masahiko NAKAMORO  
Int'l Application No.: PCT/JP2003/015128  
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Page 2 of 2

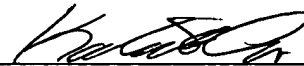
- (X) International Application as Published with International Search Report.
- (X) PCT Form PCT/IB/301, 304 and 306
- (X) PCT Request Form.
- (X) Return prepaid postcard.

**FILING FEES:**

FEE CALCULATION			
FEE TYPE & DETERMINATION		LARGE FEE	CALCULATION
Basic Filing Fee under 1.492(a)		1631 (\$300)	
Search Fee under 1.492(b) (enter one in TOTAL column)			
(1) USPTO was the ISA		1641 (\$100)	
(2) Foreign Search Report Enclosed		1642 (\$400)	
(3) No Search Report Enclosed		1632 (\$500)	
Examination Fee under 1.492(c) (enter one in TOTAL column)			
(1) USPTO was IPEA & claims satisfy Art. 33 (1)-(4))		1643 (\$100)	
(2) Non US IPEA / Noncompliant Claims		1633 (\$200)	
Excess Claims	20 - 20 = 0	1615 (\$50)	0 x 50 = \$0
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0 x 200 = \$0
Assignment		8021 (\$40)	1 x 40 = \$40
			<b>TOTAL FEE DUE</b>
			<b>\$940</b>

- (X) A check in the amount of \$940.00 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.



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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Attorney Docket No. : UNIU40.005APC  
Applicant(s) : Masahiko NAKAMORI, et al.  
For : POLISHING PAD AND METHOD OF PRODUCING  
SEMICONDUCTOR DEVICE  
Attorney : Katsuhiko Arai  
"Express Mail"  
Mailing Label No. : EV 309079655 US  
Date of Deposit : May 26, 2005

I hereby certify that the accompanying

Transmittal; English Translation of the Application in 78 pages; Preliminary Amendment in 6 pages; Drawing in 4 page; **SIGNED** Declaration by Inventor in 3 pages; Information Disclosure Statement, PTO/SB/08 Equivalent with 17 references-13 references are enclosed; Assignment; Recordation Form Cover Sheet in 4 pages; Power of Attorney by Assignee with copy of Assignment in 4 pages; International Application as published with International Search Report; PCT Form PCT/IB/301, 304, and 306; PCT Request Form; \$ 940.00 Check for Patent Application and Assignment Filing Fees; Return Prepaid Postcard; ~~PCT Form~~ **IB/308**

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Kim Collins

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